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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Rule 53(b) Divisional Application of S.N. 08/970,212:

Pre B J. Steptue 4-19

Shunji NAKAMURA

Serial No.: NEW

Group Art Unit: 2811 (Expected)

Filed: December 6, 2001

Examiner: **NADAV**, **O.** (Expected)

For: SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR FABRICATING

THE SAME

PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

December 6, 2001

Sir:

Prior to calculation of the filing fee and examination of this application, please amend the above-identified application as follows:

IN THE CLAIMS:

Please **ADD** the following new claims 29-31:

29. (New) A semiconductor memory device comprising:

a device layer including:

a silicon layer having a first diffused region and a second diffused region formed

4 therein and having substantially flat surfaces, said silicon layer defining a first side and a second

5 side;